

DECLARATION, POWER OF ATTORNEY, AND PETITION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

CENTRALIZED CONSUMER CASH VALUE ACCUMULATION SYSTEM

FOR MULTIPLE MERCHANTS

the specification of which

(check one)

☒

is attached hereto.

was filed on \_\_\_\_\_ as ☐ Application  
Serial No. \_\_\_\_\_ or ☐ Express Mail No. \_\_\_\_\_  
as Serial No. not yet known, and was amended on \_\_\_\_\_ (if  
applicable).

☐

was described and claimed in PCT International Application No. \_\_\_\_\_  
filed on \_\_\_\_\_ and as amended  
under PCT Article 19 on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority  
Claimed

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year Filed)

<input type="checkbox"/>	<input type="checkbox"/>
Yes	No

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year Filed)

<input type="checkbox"/>	<input type="checkbox"/>
Yes	No

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year Filed)

<input type="checkbox"/>	<input type="checkbox"/>
Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>07/510,446</u> (Application Serial No.)	<u>April 18, 1990</u> (Filing Date)	<u>Pending</u> (Status) (patented, pending, abandoned)
<u>07/303,319</u> (Application Serial No.)	<u>January 27, 1989</u> (Filing Date)	<u>Patented</u> (Status) (patented, pending, abandoned)

And, I hereby appoint Herbert C. Brinkman (R. No. 16,955), William G. Konold (R. No. 17,070), Richard H. Evans (R. No. 19,755), John D. Poffenberger (R. No. 20,245), Bruce Tittel (R. No. 22,324), Donald F. Frei (R. No. 21,190), David J. Josephic (R. No. 22,849), A. Ralph Navaro, Jr. (R. No. 23,050), David S. Stallard (R. No. 25,930), J. Robert Chambers (R. No. 25,448), Gregory J. Lunn (R. No. 29,945), Thomas L. Kautz (R. No. 28,726), Peter J. Manso (R. No. 32,264), Kurt L. Grossman (R. No. 29,799), Donald S. Showalter (R. No. 33,033), Clement H. Luken, Jr. (R. No. 32,742), Thomas J. Burger (R. No. 32,662), Gregory F. Ahrens (R. No. 32,957), Joseph R. Jordan (R. No. 25,686) and David M. Lockman (R. No. 34,214) in care of Wood, Herron & Evans, 2700 Carew Tower, Cincinnati, Ohio 45202, and telephone No. 513-241-2324, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. All correspondence should be sent to Wood, Herron & Evans, 2700 Carew Tower, Cincinnati, OH 45202.

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Patrick D. McCarthy 4001000

Inventor's signature Patrick D. McCarthy 8/11/23/91  
Date

Residence 4384 Bowling Boulevard  
Louisville, KY 40207

Citizenship U.S.A. KY

Post Office Address same as above

Applicant or Patentee: Patrick D. McCarthy Attorney's  
 Serial or Patent No.: Herewith Docket No.:  
 Filed or Issued: Herewith  
 For: Centralized Consumer Cash Value Accumulation System for  
Multiple Merchants

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
 STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled Centralized Consumer Cash Value Accumulation described in System for Multiple Merchants

- ☒ the specification filed herewith  
☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern, or organization  
☐ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Patrick D. McCarthy  
 NAME OF INVENTOR NAME OF INVENTOR NAME OF INVENTOR  
Patrick D. McCarthy  
 Signature of Inventor Signature of Inventor Signature of Inventor

11/23/91

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